

Secretary

TRANSMITTAL LETTER			Case No. 8285/412
Serial No. 09/873,943	Filing Date June 4, 2001	Examiner Not yet assigned	Group Art Unit 2643
Inventor(s) Alexander L. Huang et al.			
Title of Invention MONITORING AND OVERRIDING FEATURES FOR A TELEPHONE			

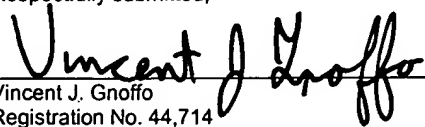
TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Notice to File Missing Parts (1p.); Response to Notice to File Missing Parts (2pp. Filed in Dup.) Check for \$948 to cover response; Assignment Recordation Form Cover Sheet (1p); Executed Assignment (3pp.); Check for \$40 to cover Assignment Recordation; Executed Declaration (2pp.); Executed Power of Attorney (1p.) and PTO Reply Postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

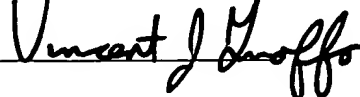
					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total	26	Minus	20	6	x \$9=		x \$18=	108
Indep.	2	Minus	3	0	x 40=		x \$80=	0
First Presentation of Multiple Dep. Claim					+\$135=		+\$270=	
					Total add'l fee	\$	Total add'l fee	\$108

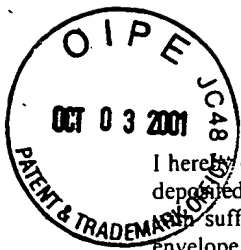
- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$948 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
  
 Vincent J. Gnoffo  
 Registration No. 44,714  
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 28, 2001.

Date: Sept. 28, 2001 Signature: 



I hereby certify that this correspondence is being deposited with the United States Postal Service, sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on September 28, 2001

\_\_\_\_\_  
Date of Deposit

Vincent J. Gnoffo, Reg. No. 44, 714

\_\_\_\_\_  
Name of applicant, assignee or  
Registered Representative

*Vincent J. Gnoffo*  
Signature

*September 28, 2001*  
Date of Signature

Case No. 8285/412  
Client Ref. No. T00338

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Alexander L. Huang et al.

Serial No.: 09/873,943

Attention: Manager,  
Application Branch

Filed: June 4, 2001

For: MONITORING AND  
OVERRIDING  
FEATURES FOR A  
TELEPHONE SERVICE  
SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231  
Attn.: **Box Missing Parts**

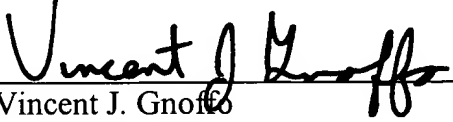
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated August 2, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- Applicant is:
- a small entity, verified statement is:
    - attached
    - already filed
  - other than small entity
- Checks totaling \$988 for:
- Filing Fee of \$710.
  - Surcharge of \$130.
  - Additional Claim Fees of \$108.
- Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- Other: \$40 Assignment Recordation Fee.
- The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: Vincent J. Gnoffo

  
Vincent J. Gnoffo  
Registration No. 44,714  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Dec.-99  
Document2

3



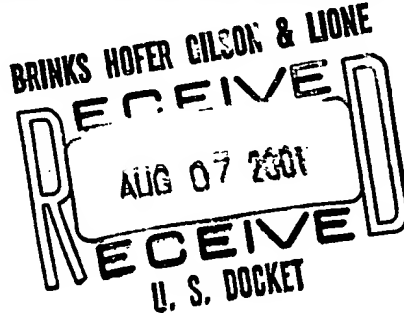
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/873,943	06/04/2001	Alexander Lisheng Huang	8285/412

Vincent J. Gnoffo  
BRINKS HOFER GILSON & LIONE  
P. O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 3353  
FORMALITIES LETTER



Date Mailed: 08/02/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.
  - \$108 for 6 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 948.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 NNDHAWM1 00000016 231925 09873943  
 01 FC:101 740.00 OP  
 02 FC:105 130.00 OP  
 03 FC:103 76.00 OP  
 30.00 CH